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and Certain of Its Affiliates

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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<b>In re</b>	<b>:</b> <b>Chapter 11 Case No.</b>
	<b>:</b>
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	<b>:</b> <b>08-13555 (SCC)</b>
	<b>:</b>
<b>Debtors.</b>	<b>:</b> <b>(Jointly Administered)</b>
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**PLAN ADMINISTRATOR–APPELLEE’S COUNTER–DESIGNATION OF  
ADDITIONAL ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL**

Appellee, Lehman Brothers Holdings Inc., as Plan Administrator under the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors (“LBHI”) hereby designates, pursuant to Rule 8009(a)(2) of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”), the following additional items to be included in the record on appeal.<sup>1</sup>

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<sup>1</sup> LBHI does not re-designate any items that it may rely upon that have already been designated by any of the Appellants in their designations which were filed separately under the following docket numbers: 47221, 47979, 47992, 47994, 47995, and 47996, but rather, will rely on Appellants’ designations. For the items designated by both LBHI and the Appellants, LBHI treats the designations as including all exhibits and attachments to such items. Moreover, while LBHI does not, at this time, object to any items designated by the Appellants, LBHI does not concede the relevance of any such items, including the proposed exhibit lists of the Claimants and LBHI (collectively, the “Proposed Exhibit Lists”), identified in the Appellants’ Designations. See Schager at p. 9; Solomon at p. 5; Michaelson at p. 5 (designating Docket Nos. 43763-3 and 43763-4). The vast majority of the items identified on the Proposed Exhibit Lists were not entered into evidence, and thus, are not in the record. While LBHI does not at this time object to the designation of the Proposed Exhibit Lists, LBHI would object to the designation of any items referenced in the Proposed Exhibit Lists that were not entered into evidence. Furthermore, although LBHI disagrees with many of the statement of issues identified by the Appellants, LBHI has not re-stated

**COUNTER-DESIGNATION OF RECORD**

<b>Designation Number</b>	<b>ECF Number</b>	<b>Date of Filing</b>	<b>Description</b>
1.	11830	09/28/2010	Opposition to Debtors' Fortieth Omnibus Objection to Claims, In re: Claim No. 34829 filed by Timothy B. Wilkinson
2.	12006	10/14/2010	Response of John J. Dmuchowski To Debtors' Fortieth and Forty-First Omnibus Objections To Claims (Late Filed Claims), Objection To Disallow/Expungement Of Claim Nos. 34402 and 34402, And Motion To Permit Filing Of Claims As Of September 23, 2009
3.	12025	10/06/2010	Opposition To Debtors' Omnibus Objection to Claims (Late Filed Claims) re: Claim No.: 66375 filed by Jack L. Rivkin
4.	12035	10/15/2010	Response to Debtors' Fortieth Omnibus Objection to Claims (Late-Filed Claims) (filed by David S. Pegno on behalf of David J. Brooks). (Attachments: (1) Response to Debtors' Fortieth Omnibus Objection to Claims (2) Declaration of David J. Brooks)
5.	12102	10/12/2010	Omnibus Objection To Claims (Late Filed); Opposition To Proposed Disallowance And Expungement Of Claim filed by Amit K. Sarkar
6.	12135	10/14/2010	Response to Objection To Claim To Be Disallowed And Expunged Re: Claim 34492 filed by Nestor De Jesus
7.	12194	10/14/2010	Re: Claim number 65858 – Objection for Claim to be Disallowed & Expunged filed by Tal Lev Ari
8.	12244	10/13/2010	Opposition to Objection to Dismiss Late Filed Claim filed by Paola Biraschi
9.	12252	10/18/2010	Response to Debtors' Fortieth Omnibus Objection to Claim (Late Filed Claims) (filed by John Rose Jr. on behalf of Julian Iragorri).
10.	12573	11/03/2010	Response to Objection to Claim No. 66392 filed by Lawrence E. McCarthy
11.	13810	12/22/2010	Opposition to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 21362 filed by Paul N. Shotton
12.	13861	01/07/2011	Opposition to Claim to be Reclassified filed by Colin S.A. Welch
13.	13864	01/07/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) filed by Gregg Somma
14.	13865	01/07/2011	Objection to Reclassification of Claim, In re: Claim 13929 filed by Judith Ann Kenney
15.	13878	01/07/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim Number 24675 filed by Mary E. Langevin

the issues because Rule 8009 of the Bankruptcy Rules does not provide for the filing of Appellee's statement of the issues absent a cross-appeal. LBHI therefore reserves its right to re-state the issues on appeal in its brief.

<b>Designation Number</b>	<b>ECF Number</b>	<b>Date of Filing</b>	<b>Description</b>
16.	14058	01/06/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 28012 filed by Robert C. Dyer
17.	14114	01/07/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 5340 filed by Andrea T. Jao
18.	14535	02/07/2011	Objection Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 12809 filed by Ian W. Anderson
19.	14543	02/07/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 13087 filed by Andrea Sullivan
20.	14630	02/14/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 23900 filed by Michael Gran
21.	14776	03/03/2011	Supplemental Order Signed on 3/3/2011 Granting Debtors' Seventy-Third Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interest
22.	14942	02/14/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 5340 with Request Re: Hearing filed by Andrea T. Jao
23.	14952	03/01/2011	Objection to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 14197 filed by Richard Grant Noble, The Field House
24.	15406	03/28/2011	Omnibus Reply to Responses to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs Of Claim As Equity Interests)
25.	16053	04/12/2011	Response In re: Claim No. 5340 filed by Andrea T. Jao
26.	16762	05/03/2011	Response to Debtors' One Hundred Thirteenth Omnibus Objection to Claims (No Liability Insurance Claims), In re: 30611 filed by Jennifer Adler
27.	16917	05/18/2011	Objection of Jennifer Becker to Debtor's One Hundred Thirtieth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests)
28.	16921	05/17/2011	Objection to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 16516 filed by Madelyn Antoncic
29.	16934	05/18/2011	Response of Fabio Liotti to Debtors' 118th Omnibus Objection to Claims
30.	16950	05/18/2011	Response of Rocco F. Andriola to Debtors' One Hundred Eighteenth Omnibus Objection to Claim (to Reclassify Proofs of Claim as Equity Interests) Re: Claim No. 10544

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31.	17022	05/23/2011	Joint Response of Henry Morgan Lawrence III and Nicole S. Lawrence to Debtors' One Hundred Eighteenth and One Hundred Thirtieth Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests) Re: Claims 3373 and 3374
32.	17065	05/17/2011	Objection to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: 17760 filed by Alexandre Catalao Maia
33.	17074	05/17/2011	Objection to Debtors' One Hundred Thirty-First Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 10101 filed by Patrick Cremin
34.	17077	05/17/2011	Objection to Reclassification of Claim No. 25198 filed by Anthony T. Carango
35.	17079	05/17/2011	Objection to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 20775 filed by Brian W. Monahan
36.	17081	05/18/2011	Objection to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim 27231 filed by Nachiketa Das
37.	17153	05/19/2011	Objection to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 28442 filed by Chris Reynolds
38.	17159	05/17/2011	Objection to Debtors' One Hundred Thirteenth Omnibus Objection to Claims (No Liability Insurance Claims), In re: Claim No. 12975 filed by Barry Porter
39.	17376	05/20/2011	Objection to Debtors' One Hundred Thirtieth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), and Debtors' One Hundred Thirty-First Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 8447 filed by Milan Veleba
40.	17747	06/03/2011	Objection to Debtors' One Hundred Thirteenth Omnibus Objection to Claims (No Liability Insurance Claims), In re: 11369 filed by Christiane Schuster
41.	17925	06/14/2011	Objection to Debtors' One Hundred Thirty-Fifth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 11369 filed by Christiane Schuster
42.	18338	06/27/2011	Response to Debtors' Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 5340 filed by Andrea T. Jao
43.	20084	09/16/2011	Response of Fabio Liotti to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims
44.	20379	09/20/2011	Response to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 34829 filed by Timothy B. Wilkinson

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45.	20383	09/20/2011	Response to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 34872 filed by Amit K. Sarkar
46.	20408	09/19/2011	Response In re: Claim No. 10370 filed by Kevin McCooey
47.	20662	10/07/2011	Response of Vincent Primiano to Debtors' 118th Omnibus Objection to Claims
48.	20663	10/07/2011	Response of Anke Parr to Debtors' 118th Omnibus Objection to Claims
49.	20664	10/07/2011	Response of Riccardo Banchetti to Debtors' 118th Omnibus Objection to Claims
50.	20665	10/07/2011	Response of Giancarlo Saronne to Debtors' 118th Omnibus Objection to Claims
51.	20666	10/07/2011	Response of Harsh Shah to Debtors' 118th Omnibus Objection to Claims
52.	20667	10/07/2011	Response of Philippe Dufournier to Debtors' 118th Omnibus Objection to Claims
53.	20996	08/17/2011	Claimants' Opposition to Debtors' One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests) (In re: Claim Nos. 9682, 13929, 13968, 17607, 28442, 20244, 21711 and 18314), filed by Michael S. Schlesinger on behalf of Richard J. Glasebrook III, David I. Weiner, Stephanie Stiefel, Marvin C. Schwartz, Chris Reynolds, Henry Ramallo, Richard Nackenson, Judith Ann Kenney
54.	21065	10/20/2011	Amended Joint Response of Henry Morgan Lawrence III and Nicole S. Lawrence to Debtors' One Hundred Eighteenth and One Hundred Thirtieth Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests) Re: Claims 3373 and 3374
55.	21174	10/11/2011	Objection to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 16153 filed by Darian Cohen
56.	21175	10/11/2011	Objection to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests), In re: Claim No. 24335 filed by Lars Jacobson
57.	21216	10/13/2011	Response to Debtors' One Hundred Eighty-Fifth Omnibus Objection to Claims (Compound Claims), In re: Claim No. 18087 filed by Karen M. Simon Krieger
58.	21221	10/13/2011	Response to Debtors' One Hundred Eighty-Fifth Omnibus Objection to Claims (Compound Claims), In re: Claim No. 18087 filed by Karen M. Simon Krieger
59.	21540	10/21/2011	Objection to Debtors' One Hundred Eighty-Fifth Omnibus Objection to Claims (Compound Claims), filed by Brian Monahan

Designation Number	ECF Number	Date of Filing	Description
60.	21574	11/04/2011	Limited Objection Of Christiane Schuster To The Third Amended Joint Chapter 11 Plan Of Lehman Brothers Holdings Inc. And Its Affiliated Debtors
61.	22275	11/17/2011	Response of Gordon Sweely to Debtors' 207th Omnibus Objection to Claims
62.	22573	11/10/2011	Affirmation in Opposition to Debtors' Two Hundred and Seventh Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) (In re: Claim No. 18067 filed by Michael S. Schlesinger on behalf of Seth Finkel)
63.	23023	12/06/2011	Order Confirming Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors
64.	23454	12/15/2011	Amended Response Of Brian W. Monahan To Debtors' One Hundred and Eighteenth And One Hundred Eighty Fifth Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) Re: Claims 20774 and 20775
65.	25308	02/14/2012	Supplemental Response Of Brian W. Monahan To Debtors' One Hundred and Eighteenth And One Hundred Eighty Fifth Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) Re: Claims 20774 and 20775
66.	25309	02/14/2012	Supplemental Response of Henry Morgan Lawrence III and Nicole S. Lawrence to Debtors' One Hundred Eighteenth and One Hundred Thirtieth Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests) Re: Claims 3373 and 3374
67.	25322	02/14/2012	Responses of Claimant Michael K. McCully in Opposition to: 1) Debtors' December 15, 2011 Omnibus Reply to Responses to Debtors' 118th, 131st, 133rd, 135th, 176th, and 207th Omnibus Objections to Claims (To Reclassify Proofs of Claim As Equity Interests); 2) Debtors' January 24, 2012 Supplemental Annotated Omnibus Reply to Responses to Debtors' 73rd, 118th, 130th, 131st, 134th, 135th, 176th, and 207th Omnibus Objections to Claims (To Reclassify Proofs of Claim As Equity Interests)
68.	25516	02/17/2012	Response of Roger Saks to Debtors' Supplemental Annotated Omnibus Reply to Responses to Debtors' One Hundred Seventy-Sixth Omnibus Objection to Claims (to Reclassify Proofs of Claim As Equity Interests)
69.	25550	02/17/2012	Joint Response of Compensation Claimants Riccardo Banchetti, Philippe Dufournier, Anke Parr, Giancarlo Saronne and Harsh Shah to Debtors' Supplemental Annotated Omnibus Objections to Claims With Respect to 118th and 130th Omnibus Claims Objections Seeking To Reclassify Proofs of Claim as Equity Interests

Designation Number	ECF Number	Date of Filing	Description
70.	25551	02/17/2012	Joint Response of Compensation Claimants Vincent Primiano, Gordon Sweely, Charles Spero and Timothy A. Burke to Debtors' Supplemental Annotated Omnibus Objection to Claims With Respect to 118th, 135th and 207th Omnibus Claims Objections Seeking to Reclassify Proofs of Claim as Equity Interests
71.	25708	02/10/2012	Opposition filed by Guillemette Callies
72.	25712	02/14/2012	Opposition filed by Paul Nigel Shotton
73.	25713	02/14/2012	Opposition with Exhibit, filed by Paul Nigel Shotton
74.	25715	02/14/2012	Claimants' Sur-Reply in Further Opposition to Debtors' Seventy Third, One Hundred Eighteenth and Two Hundred Seventh Omnibus Objections to Claims (To Reclassify Proofs of Claim As Equity Interests) (filed by Eugene Neal Kaplan on behalf of Seth Finkel, David I. Weiner, Stephanie Stiefel, Marvin C. Schwartz, Chris Reynolds, Henry Ramallo, Richard Nackenson, Judith Ann Kenney, Richard J. Glasebrook III)
75.	25716	02/14/2012	Response to Debtors' Supplemental Annotated Omnibus Reply to Responses to Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth, and Two Hundred and Seventh Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests) filed by Nikki A. Marshall
76.	25821	02/21/2012	Objection to the Reclassification of Claim No. 16516 filed by Madelyn Antoncic
77.	27041	03/09/2012	Response to Debtors' Two Hundred Fifty-Fourth Omnibus Objection to Claims (Employment-Related Claims), In re: Claim No. 28018 filed by Brian M. Gross
78.	29254	07/06/2012	Responses of Claimants Michael K. McCully, Michael J. Mullen and Other Claimants, In Opposition to Debtors' 313th Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests) (Attachments: (1) Paola Biraschi Affidavit (2) Karen Brewer Affidavit (3) William Broadbent Affidavit (4) Nestor De Jesus Affidavit (5) Steven Engel Affidavit (6) Louise Goldberg Affidavit (7) Adrian Graves Affidavit (8) Harriet Chan King Affidavit (9) Sarah Lewis Affidavit (10) Michael McCully Affidavit (11) Michael Mullen Affidavit (12) Ian Neville Affidavit (13) Martin Patterson Affidavit (14) Margaret Smith Affidavit (15) Stephen Snelling Affidavit (16) Timothy Wilkinson Affidavit)
79.	29263	07/06/2012	Response of Brian W. Monahan To Debtors' 318th Omnibus Objection To Claims

Designation Number	ECF Number	Date of Filing	Description
80.	29265	07/06/2012	Affirmation of Claimant Helmut Olivier in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) dated June 4, 2012
81.	29266	07/06/2012	Affirmation of Claimant Brian Seward in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) dated June 4, 2012
82.	29267	07/06/2012	Affirmation of Claimant Alvaro Santodomingo in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) dated June 4, 2012
83.	29268	07/06/2012	Affirmation of Claimant Michael Collier in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
84.	29269	07/06/2012	Affirmation of Claimant Joseph D'Amadeo in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
85.	29271	07/06/2012	Affirmation of Claimant Nicholas P. Howard in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
86.	29272	07/06/2012	Affirmation of Claimant Hugh E. McGee in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
87.	29273	07/06/2012	Affirmation of Claimant Ross Shapiro in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
88.	29275	07/06/2012	Affirmation of Claimant Peter Ward in Opposition to Debtors' 313th Omnibus Objection (To Reclassify Proofs of Claim As Equity Interests) Dated June 4, 2012
89.	29279	07/06/2012	Joinder in Response to Debtors' Three Hundred Thirteenth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) (filed by David S. Pegno on behalf of David J. Brooks)
90.	29389	07/13/2012	(I) Joint Response of Claimants Vincent Primiano, Gordon Sweely, Charles Spero, Timonhly A. Burke, Jonathan Sebiri, Riccardo Banchetti, Philippe Dufornier, Anke Parr, Giancarlo Saronne and Harsh Shah to Debtors' 314th Omnibus Claims Objection (Late Filed) and (II) Cross-Motion to Consolidate
91.	29416	07/16/2012	Responses of Claimants Tal Lev Ari, Julian Iragorri and Jack Rivkin, In Opposition to Debtors' 319th Omnibus Objections To Claims (To Reclassify Proofs of Claim as Equity Interests)
92.	29419	07/16/2012	Supplement to Responses of Michael K. McCully, Michael J. Mullen, et al., In Opposition to Debtors' 313th Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests), on behalf of Claimants John Dmuchowski, Sandra Hahn-Colbert, Jeffrey Wecker and Timothy Wilkinson



Designation Number	ECF Number	Date of Filing	Description
93.	29467	07/17/2012	Second Supplement to Responses of Michael K. McCully, Michael J. Mullen, et al., In Opposition to Debtors' 313th Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests), on Behalf of Claimants Patricia M. Luken and Michael J. Petrucelli
94.	29792	08/01/2012	Third Supplement to Responses of Michael K. McCully, Michael J. Mullen, et al., In Opposition to Debtors' 313th Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests), on Behalf of Claimants Craig O. Benson and Pierluigi Volini
95.	29938	08/09/2012	Notice of Motion to Permit Filing of Supplemental Affirmations in Opposition to 313th and 319th Omnibus Objections to Claims filed by Richard J. Schager Jr. on behalf of Craig O Benson (Attachments: (1) Exhibit Schager Affirmation in Support of Motion to Permit Filing (2) Exhibit Craig Benson Affirmation in Support (3) Exhibit Lawrence McCarthy Affirmation in Support (4) Exhibit Michael Petrucelli Affirmation in Support (5) Exhibit Jeffrey Wecker Affirmation in Support (6) Exhibit Proposed Order)
96.	30085	08/07/2012	Objection to Debtors' Three Hundred Twenty-Ninth Omnibus Objection to Claims (Misclassified Claims), In re: Claim No. 18087, filed by Karen M. Simon Krieger
97.	30089	08/09/2012	Response to Debtors' Three Hundred Twenty-Ninth Objection to Claims (Misclassified Claims), filed by Nikki Marshall
98.	30123	08/16/2012	Response of Peter Hornick to Debtors' 313th Omnibus Objection to Reclassify Claims as Equity
99.	30142	08/16/2012	Reply of Claimants Michael K. McCully and Michael J. Mullen in Support of Motion to Certify a Class of RSU and CSA Claimants Named in 313th and 319th Omnibus Objections (Attachments: (1) Exhibit 1 - Debtors' Sch. G Declaration (2) Exhibit 2 - Benson Aff. (3) Exhibit 3 - Volini (4) Exhibit 4 - Sargent (5) Exhibit 5 - Dmuchowski Aff (6) Exhibit 6 - McCully Aff (7) Exhibit 7 - Mullen Aff.)
100.	30421	08/27/2012	Order Establishing Discovery Procedures in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interests
101.	30921	09/14/2012	Response of Claimant Nicholas P. Howard in Opposition to Debtors' 342nd Omnibus Objection to Claims (Employment-Related Claims)
102.	30949	09/13/2012	Response to Debtors' Three Hundred Forty-Second Omnibus Objection to Claims (Employment-Related Claims), filed by Nikki A. Marshall
103.	31015	09/20/2012	Responses of Claimant Yeruchim Levilev, In Opposition to Debtors' 347th Omnibus Objections to Claims (To Reclassify Proofs of Claim as Equity Interests)
104.	31084	09/25/2012	Response of Claimant Anshuman Goyal in Opposition to Debtors' 347th Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests)

<b>Designation Number</b>	<b>ECF Number</b>	<b>Date of Filing</b>	<b>Description</b>
105.	32386	11/28/2012	Amended Order Establishing Discovery Procedures in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interests
106.	34583	02/13/2013	Second Amended Order Establishing Discovery Procedures in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interests
107.	36416	04/02/2013	Response In re: RSU Claims Discovery, In re: Claim No. 24675, filed by Mary E. Langevin
108.	36417	04/01/2013	Response In re: RSU Claims Discovery, In re: Claim No. 18087 filed by Karen M. Simon Krieger
109.	36559	04/01/2013	Response In re: RSU Claims Discovery in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interest, In Re: Claim No. 24373 filed by Gregg Somma
110.	40193	09/23/2013	Stipulation Claimants' Joint Statement With Respect to Debtors' Amended Notice of Presentment of Stipulation of Facts Regarding Tax and Accounting Treatment of RSUs and CSAs (filed by Lisa M. Solomon on behalf of Timothy A. Burke)
111.	40334	10/08/2013	Third Amended Order Establishing Discovery Procedures in Connection with Omnibus Objections to Reclassify Proofs of Claim As Equity Interest
112.	40542	10/17/2013	Fourth Amended Order Establishing Discovery Procedures in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interests
113.	42047	12/17/2013	Objection to Seventy Third Omnibus Objection to Claims to Reclassify Proof of Claim as Equity Interest, In re: Claim No, 14197, filed by Richard Grant Noble
114.	42365	01/29/2014	Verified Statement Pursuant to Rule 2019(a) of the Federal Rules of Bankruptcy Procedure (filed by Armen James Boyajian on behalf of Darian Cohen)
115.	42383	01/30/2014	Fourth Supplemental Verified Statement Pursuant to Rule 2019 of the Federal Rules of Bankruptcy Procedure (filed by Lisa M. Solomon on behalf of Michael Lawsky)
116.	42500	02/04/2014	Claimants' Adoption and Joinder to Compensation Claimants Memorandum in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify Compensation Claims as Equity, or Alternatively, to Subordinate Claims Pursuant to Section 510(b) of the Bankruptcy Code (filed by Andrew R Goldenberg on behalf of Jennifer Becker, Guillemette Callies, Gregg W. Hawes, Christiane Schuster, Colin S.A. Welch)
117.	42501	02/04/2014	Supplemental Opening Memorandum on Behalf of Fabio Liotti

<b>Designation Number</b>	<b>ECF Number</b>	<b>Date of Filing</b>	<b>Description</b>
118.	42531	01/31/2014	Memorandum in Opposition to Debtors' One Hundred Thirty-First Omnibus Objection Seeking to Reclassify Compensation Claims as Equity, or Alternatively, to Subordinate Claims Pursuant to Section 510(b) of the Bankruptcy Code
119.	42552	02/06/2014	Joinder to Compensation Claimants Memorandum of Law in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify Claims as Equity, or Alternatively, to Subordinate Claims Pursuant to Section 510(b) of the Bankruptcy Code (filed by Lisa M. Solomon on behalf of Nachiketa Das)
120.	42707	02/06/2014	Objection And Supplemental Opening Memorandum on Behalf of Claimant, In re: One Hundred Eighteenth Omnibus Objection to Claims (to Reclassify Proofs of Claim as Equity Interests), filed by Alexandre Catalao Maia
121.	43899	03/31/2014	Objection of Lehman Brothers Holdings Inc. to the Supplemental Declaration of Henry Ramallo
122.	43900	03/31/2014	Objection of Lehman Brothers Holdings Inc. to the Supplemental Declaration of Stephanie Stiefel
123.	-	-	Email from T. Brady to Chambers re: In re Lehman Brothers Holdings In., et al., Case No. 08-13555 (SCC) – RSU Evidentiary Hearing Exhibits, dated Apr. 9, 2014 <sup>2</sup>
124.	44567	06/05/2014	Neuberger Berman Claimants' Proposed Findings of Fact and Conclusions of Law
125.	44705	06/09/2014	Response to Debtors' Seventy-Third Omnibus Objection, filed by Andrea T. Jao
126.	44951	07/02/2014	Proposed Findings of Fact and Conclusions of Law Submitted by Compensation Claimants in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify Compensation Claims as Equity or Alternatively to Subordinate Claims Pursuant to Section 510(b) of the Bankruptcy Code
127.	45025	07/07/2014	Debtors' Reply to RSU Claimants Proposed Findings of Fact and Conclusions of Law
128.	45026	07/07/2014	Debtors' Proposed Findings of Fact and Conclusions of Law
129.	45093	07/10/2014	Letter to Judge Chapman on behalf of the Neuberger Berman Claimants
130.	45687	08/12/2014	Findings of Fact and Conclusions of Law Letter to Hon. Shelley Chapman, U.S.B.J., providing recent appellate authority in support of Compensation Claimants Proposed Conclusions of Law filed by Richard J. Schager Jr. on behalf of Michael K. McCully

<sup>2</sup> This email is attached hereto as Exhibit A consistent with Rule 8007-1 of the Local Rules of the United States Bankruptcy Court for the Southern District of New York (the "Local Rules").

Designation Number	ECF Number	Date of Filing	Description
131.	-	-	Email from S. Lutkus, Law Clerk to Judge Chapman, to All Parties re: August 12, 2014 letter from Richard Schager, dated Aug. 14, 2014 <sup>3</sup>
132.	46927	11/11/2014	Transcript regarding Hearing Held on 4/2/2014 10:04am Re: Evidentiary Hearing on RSU Claims

**DEBTORS' EVIDENTIARY HEARING EXHIBITS<sup>4</sup>**

Designation Number	Exhibit Number	Date	Description
133.	CL002		Guide to Working at Lehman Brothers, bates numbered LEH-RSU 0000292-330
134.	CLX 090	10/24/2013	Excerpts of Transcript of Rule 30(b)(6) Deposition of LBHI
135.	LBHI0028		2007 Equity Award Program For Bonus-Eligible and Production-Based Employees, bates numbered LEH-RSU 0023023-033
136.	LBHI0034		2003 Equity Award Program, Agreement Evidencing a Grant of Restricted Stock Units – Investment Representatives, bates numbered LEH-RSU 0015055-58
137.	LBHI0035		2003 Equity Award Program, Agreement Evidencing a Grant of Restricted Stock Units, bates numbered LEH-RSU 0014309-312
138.	LBHI0036		2003 Equity Award Program - Managing Director, bates numbered LEH-RSU 0014184-198
139.	LBHI0038		2003 Equity Award Program, bates numbered LEH-RSU 0014168-183
140.	LBHI0047		2005 Equity Award Program, Agreement Evidencing a Grant of Restricted Stock Units, bates numbered LEH-RSU 0000120-23
141.	LBHI0048		2005 Equity Award Program For Bonus-Eligible And Production-Based Employees, bates numbered LEH-RSU 0000124-135
142.	LBHI0116	11/15/2007	Prospectus: LBHI 2005 Stock Incentive Plan, 95,000,000 Shares of Common Stock, bates numbered LEH-RSU 0000172-181
143.	LBHI0117	11/08/2007	LBHI 1996 Management Ownership Plan as amended through Nov. 8, 2007, bates numbered LEH-RSU 0000237-245
144.	LBHI0118	11/08/2007	LBHI Employee Incentive Plan as amended through Nov. 8, 2007, bates numbered LEH-RSU 0000254-262
145.	LBHI0131	02/28/2003	Notice of 2003 Annual Meeting of Stockholders of LBHI with enclosed proxy card, bates numbered LEH-RSU 0020596-0637

<sup>3</sup> This email is attached hereto as Exhibit B consistent with Rule 8007-1 of the Local Rules.

<sup>4</sup> The evidentiary hearing exhibits, marked with their respective exhibit numbers, are attached hereto as Exhibit C consistent with Rule 8007-1 of the Local Rules.

Dated: February 11, 2015  
New York, New York

/s/ Ralph I. Miller

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